

11/18/04

AF/SW

2876

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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PTO TRANSMITTAL FORM NOV 17 2004 (to be used for all correspondence after initial filing) PATENT & TRADEMARK OFFICE	Application Number	09/385,597	
	Filing Date	August 30, 1999	
	First Named Inventor	James A. Parker	
	Group Art Unit	2876	
	Examiner Name	Uyen Chau N. Le	
Total Number of Pages in This Submission	9	Attorney Docket Number	283-205 CIP

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/17 Fee Transmittal (1 pg.), Express Mailing Label No. EV511845090US, Information Disclosure Statement Under 37 CFR §1.97 and §1.98 (2 pgs.), PTO/SB/08A (3 pgs.), PTO/SB/08B (1 pg.), Cited References AA-AO and BW-BZ, Our Check for \$180.00 and Return Mailroom Postcard.
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Wall Marjama & Bilinski LLP	
	George S. Blasiak	Reg. No. 37,283
Signature	<i>George S. Blasiak</i>	
Date	November 17, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mailing Label No. EV511845090US with sufficient postage as express mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

November 17, 2004

Typed or printed name	Tammy S. Senecal		
Signature	<i>Tammy Senecal</i>	Date	November 17, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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FEE TRANSMITTAL
for FY 2005

Effective 10/01/04. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Express Mail Label No. EV511845090US

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/385,597
Filing Date August 30, 1999
First Named Inventor James A. Parker
Examiner Name Uyen Chau N. Le
Group Art Unit 2876
Attorney Docket No. 283-205 CIP

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number

50-0289

Deposit
Account
Name

Wall Marjama & Bilinski LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1001	790	Utility filing fee
		1002	350	Design filing fee
		1003	550	Plant filing fee
		1004	790	Reissue filing fee
		1005	160	Provisional filing fee

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fees from below	Fee Paid
-20** =			
Independent Claims -3* =			
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1202	18	Claims in excess of 20
		1201	88	Independent claims in excess of 3
		1203	300	Multiple dependent claim, if not paid
		1204	88	** Reissue independent claims over original patent
		1205	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
				1051	130	Surcharge - late filing fee or oath	
				1052	50	Surcharge - late provisional filing fee or cover sheet.	
				1053	130	Non-English specification	
				1812	2,520	For filing a request for <i>ex parte</i> reexamination	
				1804	920*	Requesting publication of SIR prior to Examiner action	
				1805	1,840*	Requesting publication of SIR after Examiner action	
				1251	110	Extension for reply within first month	
				1252	430	Extension for reply within second month	
				1253	980	Extension for reply within third month	
				1254	1,530	Extension for reply within fourth month	
				1255	2,080	Extension for reply within fifth month	
				1401	340	Notice of Appeal	
				1402	340	Filing a brief in support of an appeal	
				1403	300	Request for oral hearing	
				1451	1,510	Petition to institute a public use proceeding	
				1452	110	Petition to revive - unavoidable	
				1453	1,330	Petition to revive - unintentional	
				1501	1,370	Utility issue fee (or reissue)	
				1502	490	Design issue fee	
				1503	660	Plant issue fee	
				1460	130	Petitions to the Commissioner	
				1807	50	Processing fee under 37 CFR 1.17(q)	
				1806	180	Submission of Information Disclosure Stmt	180.00
				8021	40	Recording each patent assignment per property (times number of properties)	
				1809	790	Filing a submission after final rejection (37 CFR § 1.129(a))	
				1810	790	For each additional invention to be examined (37 CFR § 1.129(b))	
				1801	790	Request for Continued Examination (RCE)	
				1802	900	Request for expedited examination of a design application	

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	George S. Blasiak	Registration No. (Attorney/Agent)	37,283	Telephone	(315) 425-9000
Signature				Date	November 17, 2004

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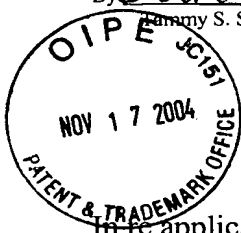
This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT
Attorney Docket No.: 283-205 CIP
Express Mail Label No. EV511845090US

By: *Danmy S. Seneca*
Danmy S. Seneca



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James A. Parker, et al.

Application No.: 09/385,597

Filed: August 30, 1999

For: Optical Reader System Comprising
Local Host Processor and Optical Reader

Examiner: Uyen Chau N. Le

Art Unit: 2876

Confirmation No. 9401

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop: Amendment
Commissioner of Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of cited references AA-AO and BW-BZ are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Under the U.S. Patent and Trademark Office's 21st Century Strategic Plan, copies of patent application claims do not have to be supplied with an Information Disclosure Statement ("IDS") if the patent application has been entered into the Image File Wrapper ("IFW") system. See 69 FR 56481 (September 21, 2004). Applicants nevertheless, for the convenience of the Examiner, attach pending claims of several pending patent applications that have been entered into the IFW.

11/22/2004 AWONDAF1 00000011 09385597

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As provided for by 37 CFR §1.97(h), no inference should be made that the information and references cited are material to patentability. Also, no inference should be made that a reference is prior art merely because it is included in this statement. Under 37 CFR §1.97(g), the submission of an information disclosure statement should not be considered as representation that a search has been made.

Applicants believe that a fee is required for submission of this statement. Enclosed is our check for \$180.00. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account. The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP

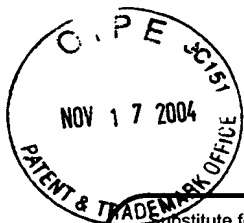
Date: November 17, 2004



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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(use as many sheets as necessary)

Sheet

1

of

4

Complete if Known

Application Number	09/385,597
Filing Date	August 30, 1999
First Named Inventor	James A. Parker
Art Unit	2876
Examiner Name	Uyen Chau N. Le
Attorney Docket Number	283-205 CIP

U.S. PATENT DOCUMENTS

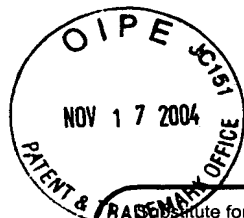
Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-Patent Application No. 09/385,597. Filed August 30, 1999. (Attached is a listing of pending claims)	Filed 08-30-1999	Parker et al.	
	AB	US Patent Application No. 09/746,192. Filed December 21, 2000. (Attached is U. S. Patent Publication No. 2003-0218067 and March 23, 2004 Preliminary Amendment With Pending Claims)	11-27-03	Parker et al.	
	AC	US-Patent Application No. 09/746,427. Filed December 21, 2000. (Attached is U. S. Patent Publication No. 2004-0094627A1, Pending Claims Prior to Withdraw From Issue and Petition to Withdraw From Issue Under 37 CFR 1.313(c)(3))	05-20-2004	Parker et al.	
	AD	US-Patent Application No. 10/227,889. Filed August 26, 2002. (Attached is U. S. Patent Publication No. 2003-0042311 A1 and August 9, 2004 Preliminary Amendment With Pending Claims)	03-06-2003	Longacre et al.	
	AE	US-Patent Application No. 10/328,939. Filed December 23, 2002. (Attached is U. S. Patent Publication No. 2003-0146283 A1 and May 26, 2004 Preliminary Amendment With Pending Claims)	08-07-2003	Longacre et al.	
	AF	US-Patent Application No. 10/339,424. Filed January 9, 2003. (Attached is U. S. Patent Publication No. 2004-0004128 A1, March 23, 2004 Preliminary Amendment With List of Claims Prior to Abandonment and Express Abandonment Response)	01-08-2004	Pettinelli et al.	
	AG	US-Patent Application No. 10/802,539. Filed March 16, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed March 16, 2004	Longacre et al.	
	AH	US-Patent Application No. 10/801,937. Filed March 16, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed March 16, 2004	Longacre et al.	

Examiner
SignatureDate
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¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT Express Mail Label No. EV511845090US (use as many sheets as necessary)		Complete if Known	
		Application Number	09/385,597
Sheet	2	Filing Date	August 30, 1999
of	4	First Named Inventor	James A. Parker
		Art Unit	2876
		Examiner Name	Uyen Chau N. Le
		Attorney Docket Number	283-205 CIP

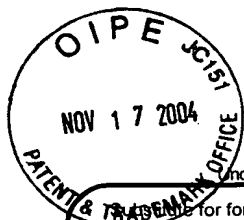
U.S. PATENT DOCUMENTS					
Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AI	US-Patent Application No. 10/800,136. Filed March 12, 2004. (Attached are the pending claims)	Filed March 12, 2004	Longacre et al.	
	AJ	US-Patent Application No. 10/786,808. Filed February 25, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed February 25, 2004	Longacre et al.	
	AK	US-Patent Application No. 10/793,423. Filed March 4, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed March 4, 2004	Longacre et al.	
	AL	US-Patent Application No. 10/778,466. Filed February 13, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed February 13, 2004	Longacre et al.	
	AM	US-Patent Application No. 10/786,984. Filed February 25, 2004. (Attached is August 27, 2004 Preliminary Amendment with the pending claims)	Filed February 25, 2004	Longacre et al.	
	AN	US-Patent Application No. 10/895,536. Filed July 23, 2004. (Attached are the pending claims)	Filed July 23, 2004	Longacre et al.	
	AO	US-Patent Application No. 10/887,207. Filed July 8, 2004. (Attached are the pending claims)	Filed July 8, 2004	Longacre	
	AP	US-5,231,293	07-27-1993	Longacre, Jr.	
	AQ	US-5,233,169	08-03-1993	Longacre, Jr.	
	AR	US-5,286,960	02-15-1994	Longacre, Jr. et al.	
	AS	US-5,294,783	03-15-1994	Hammond, Jr. et al.	
	AT	US-5,308,962	05-03-1994	Havens et al.	
	AU	US-5,420,409	05-30-1995	Longacre, Jr. et al.	
	AV	US-5,430,286	07-04-1995	Hammond, Jr. et al.	
	AW	US-5,463,214	10-31-1995	Longacre, Jr. et al.	
	AX	US-5,479,515	12-26-1995	Longacre, Jr.	
	AY	US-5,591,956	01-07-1997	Longacre, Jr. et al.	
	AZ	US-5,723,853	03-03-1998	Longacre, Jr. et al.	
	BA	US-5,773,806	06-30-1998	Longacre, Jr.	
	BB	US-5,773,810	06-30-1998	Hussey et al.	

Examiner Signature	Date Considered
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

3

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4

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Application Number	09/385,597
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Art Unit	2876
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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	BC	US-5,825,006	10-20-1998	Longacre, Jr. et al.	
	BD	US-5,900,613	05-04-1999	Koziol et al.	
	BE	US-5,914,476	06-22-1999	Gerst III, et al.	
	BF	US-5,929,418	07-27-1999	Ehrhart et al.	
	BG	US-5,932,862	08-03-1999	Hussey et al.	
	BH	US-5,942,741	08-24-1999	Longacre, Jr. et al.	
	BI	US-5,949,052	09-07-1999	Longacre, Jr. et al.	
	BJ	US-5,965,863	10-12-1999	Parker et al.	
	BK	US-6,016,135	01-18-2000	Biss et al.	
	BL	US-6,264,105	07-24-2001	Longacre, Jr. et al.	
	BM	US-6,298,175	10-02-2001	Longacre, Jr. et al.	
	BN	US-6,298,176	10-02-2001	Longacre, Jr. et al.	
	BO	US-6,491,223	12-10-2002	Longacre, Jr. et al.	
	BP	US-6,561,428	05-13-2003	Meier et al.	
	BQ	US-6,575,367	06-10-2003	Longacre, Jr.	
	BR	US-6,578,766	06-17-2003	Parker et al.	
	BS	US-6,655,595	12-02-2003	Longacre, Jr. et al.	
	BT	US-6,698,656	03-02-2004	Parker et al.	
	BU	US-6,722,569	04-20-2004	Ehrhart et al.	
	BV	US-6,814,290	11-09-2004	Longacre	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
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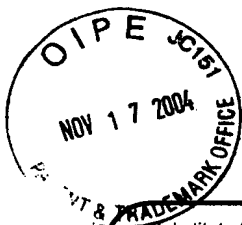
Examiner
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Considered

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¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **4** of **4**

Complete if Known

Application Number	09/385,597
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Art Unit	2876
Examiner Name	Uyen Chau N. Le
Attorney Docket Number	283-205 CIP

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BW	www.DELPHION.COM. Internet Search of U. S. 5,965,863 Optical Reader System Comprising Local Host Processor And Optical Reader. "Patent Family" Internet Search Dated November 15, 2004 (7 Pgs.) U. S. Patent Application No. 08/839,020.	
	BX	www.DELPHION.COM. Internet Search of U. S. 2003-0042311A1 Autodiscriminating Optical Reader. "Patent Family" Internet Search Dated November 15, 2004 (4 Pgs.) U. S. Patent Application No. 10/227,889.	
	BY	www.DELPHION.COM. Internet Search of U. S. 20030146283A1 Autodiscriminating Optical Reader. "Patent Family" Internet Search Dated November 15, 2004 (4 Pgs.) U. S. Patent Application No. 10/328,939.	
	BZ	www.DELPHION.COM. Internet Search of U. S. 2003-0218067A1 Reprogrammable Optical Reader. "Patent Family" Internet Search Dated November 15, 2004 (4 Pgs.) U. S. Patent Application No. 09/746,192.	
		NOTE: The above four DELPHION Internet Searches summarize reference data identifying US and Foreign patent references related to the present application.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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